

Sikich Corporate Finance LLC

Privacy and Personal Information

To our Clients:

Sikich Corporate Finance LLC has a strict policy of protecting the confidentiality and security of information we collect about our clients. We do not share information about you outside of Sikich Corporate Finance LLC without your expressed consent except as described.

In establishing an account with Sikich Corporate Finance LLC, it is necessary that you provide the firm with certain personal information necessary to ensure that your account is managed consistent with your investment objectives and personal financial goals. This information will generally be derived from the following sources.

- Information we receive from you on the New Account Form or other forms, and:
- Information about your securities transactions with the firm or advisers that may be providing financial services to you.

It is the policy of Sikich Corporate Finance LLC that we do not disclose any non-public personal information about you to anyone except as required by law or court order. Information is shared with third party service providers only as necessary to settle transactions in your account.

If you decide to close your account(s) with Sikich Corporate Finance LLC, or become an inactive customer, Sikich Corporate Finance LLC will adhere to the privacy policies and practices as described in this notice.

Sikich Corporate Finance LLC restricts access to your personal and account information to those employees who need to know that information to provide service to you. The Firm maintains physical, electronic, and procedural safeguards to guard your non-public personal information.

Should you have any questions regarding Sikich Corporate Finance LLC's policy, please contact your Sikich Corporate Finance LLC representative.

Sincerely,

Sikich Corporate Finance LLC